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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Sector \$

in re Patent Application of:

SPALDING

Serial No. 09/837,664

Filing Date: April 18, 2001

Confirmation No. 9909

For: SYSTEM AND METHOD FOR SECURING

TRANSACTIONS BETWEEN BUYER

AND CREDIT AUTHORIZER

RESPONSE TO NOTICE TO FILE MISSING PARTS

Director, U.S. Patent and Trademark Office Washington, DC 20231

Sir:

Responsive to the Notice to File Missing Parts mailed June 12, 2001, Applicants enclose the following:

- 1. Filing fee of \$355.00 for a small entity.
- 2. Surcharge of \$65.00 for small entity.
- 3. Declaration and Power of Attorney.
- 4. Copy of "Notice to File Missing Parts of Nonprovisional Application" mailed June 12, 2001.

Checks in the amount of \$420.00 to cover the filing fee and surcharge fee, and \$40.00 to cover the assignment recordal fee are enclosed.

In re Patent Application of:

SPALDING

Serial No. 09/837,664 Filing Date: 4/18/2001

If any additional extension and/or fee is required, or if any additional fee for claims is required, charge Account No. 01-0484.

Respectfully submitted,

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: DIRECTOR, U.S. PATENT AND TRADEMARK OFFICE, WASHINGTON, DC 20231, on this day of July, 2001.

Julie Lalan





United States Patent and Trademark Office

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PPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/837.664

04/18/2001

Jeff Spalding

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CONFIRMATION NO. 9909

FORMALITIES LETTER

OC000000006172331*

RICHARD K. WARTHER Allen, Dyer, Doppelt, Milbrath & Gilchrist, P.A. P.O. Box 3791 Orlando, FL 32802-3791

Date Mailed: 06/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

- The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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355.00 OP 01 FC:201 65.00 OP 02 FC:205

A copy of this notice <u>MUST</u> be returned with the reply.

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